

WWZ, Prof. Dr. F. Krysiak, P.O. Box, 4002 Basel, Switzerland

To the Ph.D. candidates of the Faculty of
Business and Economics

Basel, March 31, 2017

Re: Doctoral Studies Co-Authorship and presentations at the *Economics Lunch*

Dear Ph.D. Candidates,

I would like to inform you regarding several decisions of the faculty's PhD Board, which emphasize already existing aspects of the doctoral regulations:

Co-Authorship

Parts of the thesis can be joint work with co-authors. However, in cumulative dissertations, at least one paper must be written alone. Alone means without any co-authors.

Declaration of Contributions in cumulative dissertations

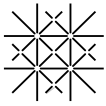
For the admission to the doctoral examination, all parts of the thesis that refer to joint works with co-authors need to be declared accordingly. A declaration marking the Ph.D. candidate's as well as the co-authors' contributions (e.g. idea, design of identification strategy, design of theoretical model, data analysis, ...) per paper has to be submitted and has to be signed by all parties involved. The declaration of co-authorship is binding, i.e., it is expected that in most cases eventual journal publications arising from the thesis will have the same set of authors.

Evaluation of joint contributions

Supervisors involved as co-authors only describe the Ph.D. candidate's contributions, but do not evaluate them.

In case a supervisor's strong involvement in a thesis makes an overall evaluation impossible, a third supervisor has to be declared. It is the first supervisor's and the Ph.D. candidate's responsibility to suggest a third supervisor to the Head of the PhD Board. Please keep in mind that the approval of a third supervisor takes time, and therefore the procedure should start not too late, for example, once it becomes clear that major parts of the thesis are co-authored by a supervisor, it would be good to start this process.

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Presentations at the Economics Lunch (“In-house presentations”)

Finally, please note that each Ph.D. candidate has to present his or her research project at least once at the Economics Lunch. Other in-house seminars or occasions are no longer accepted as a replacement. Please discuss your schedule with your supervisors, so that you can benefit from his or her feedback. For administrative purposes, we need the confirmation of your successful presentation from your first and, ideally, also from your second supervisor. (To this end: Please print the relevant schedule at <https://www.unibas.ch/forschung/economics-lunch/>, and have it signed by your first and / or second supervisor).

Thank you for your cooperation.

Best regards,

Frank Krysiak
Dean of Research and Head of the PhD Board