

Study guide to the Structured Doctoral Programme in Applied Economics at the Faculty of Business and Economics of the University of Basel

From 12 June 2014, referring to the regulations of 16 December 2010 and the supplementary regulations on the structured doctoral programme in Applied Economics from 18 March 2014

This study guide specifies the "supplementary regulations to the structured doctoral programme in Applied Economics", adopted by the Faculty on 18 March 2014. It applies to all doctoral candidates of the structured doctoral programme (DP) in Applied Economics at the Faculty of Business and Economics of the University of Basel, starting in the autumn semester of 2014. The doctoral regulations (PO) from 16 December 2010, as well as the "supplementary regulations to the structured doctoral programme in Applied Economics" (APO) from 18 March 2014, on the basis of §1 para 3 of the PO, form the legal basis for this study guide of the Faculty of Business and Economics of the University of Basel. Please read the doctoral regulations, the supplementary regulations and this study guide thoroughly before taking up doctoral studies.

The study guide is structured as follows: The first two sections contain background information on the DP, as well as a description of the involved faculty members. The third section outlines the parties' respective responsibilities. The fourth section includes comments on the structure and curriculum. The fifth section clarifies content, admission, evaluation and financing. The sixth and seventh sections present discussions on expectations and timeline for the doctorate. In sections eight and nine, there are explanations on requirements for the dissertation as well as on examination procedures and how doctoral examinations are carried out. The tenth section is devoted to requirements for the publication of the dissertation.

1 Background

The DP is based on structured training of doctoral candidates as, for example, offered by the so-called Gerzensee programme ("Swiss Programme for Beginning Doctoral Students in Economics"), which is successfully used by some doctoral candidates at our faculty. The Gerzensee programme is one option in the structured DP. The DP also includes a selection of new educational opportunities, which have been specifically created for the DP based on existing Masters courses at our faculty.

2 Involved Professors

The programme includes four research areas:

- 1. Economics of Accounting**
(Proff. Böckem, Schiller)
- 2. International Trade, Environmental and Energy Economics**
(Proff. Hintermann, Krysiak, Weder, Weigt)
- 3. Macroeconomics and Monetary Economics**
(Proff. Berentsen, Camera, Lengwiler)
- 4. Microeconomics and Microeconometrics**
(Proff. Beckmann, Kleiber, Maringer, Nöldeke, Schmidheiny, Wunsch)

These areas reflect the thematic interfaces and build on the faculty's existing research strengths.

The DP targets candidates who want to pursue an academic career based on excellent research on socially relevant topics (e.g. the environment, energy, globalisation, taxation, wages, unemployment, financial market regulation and monetary policy) from an integrated economic and business perspective. This claim is encompassed by the term "applied economics".

While admission to the General Doctorate is already characterised by clearly defined entry requirements (e.g. a minimum Master's overall average grade of 5.0), access to the DP additionally requires the fulfilment of academic career criteria. After approval, a strict selection process continues during the first year of the doctorate; therefore, doctoral students will receive financial support in the form of initial funding during this first year.

3 Responsibilities

The three central bodies are the PhD Board, the Programme Supervision Unit and the PhD Committee.

3.1 The PhD Board

The PhD Board is the governing body overseeing the training of the doctoral candidates (see PO §8). It oversees the content and structure of all doctorates within the faculty. The Chairman of the Examination Board acts as Chairman of the PhD Board, unless otherwise agreed by the PhD Board. The board can delegate individual tasks to a group of members.

The PhD Board performs all the tasks it has been assigned by the PO. This includes: -(1) submission to the Rector's Office regarding doctoral admission; (2) defining the conditions of admission; (3) approval of each respective PhD Committee; (4) approving the admission of external supervisors; (5) ensuring a sufficient supervisory capacity by primary supervisors; (6) determining the number of credit points for events within the education programme; (7) reviewing the supervision, particularly in cases of conflict, (8) verifying candidates' completion of the required doctoral studies and (9) decisions in cases of hardship (PO §24). Furthermore, the PhD Board decides on all issues of the doctorate not explicitly regulated in the PO (PO §8 para. 3).

3.2 The Programme Supervision Unit

The structured doctoral programme in Applied Economics is organised by a Programme Supervision Unit. It works closely with the PhD Board and also with the Curriculum Commission of the Faculty. It represents the doctoral programme in Applied Economics outside the university and ensures its visibility on an international level.

The Programme Supervision Unit is specifically in charge of the following tasks:

- it oversees the call for proposals, carries out the application process and selects suitable candidates;
- after one year, it decides on the continuation or termination of the doctorate in the structured doctoral programme in Applied Economics. In the event of a termination, it makes a recommendation to this effect to the PhD Board.

The Programme Supervision Unit may delegate individual duties to the chairperson or to a group of members. The Programme Supervision Unit is composed of representatives of the participating research areas as well as a representative in a coordinating position (PostDoc). It chooses a chairperson from among the members of the Programme Supervision Unit.

3.3 The PhD Committee

The PhD Committee comprises the supervisors of the respective doctoral candidates. At the request of the primary supervisor, the PhD Board determines the composition of the PhD Committee. The supervision of each doctoral candidate is usually done by two full-time faculty members. The primary supervisor takes on a management role and is the first point of contact for the doctoral student.

At least one member of the PhD Committee must belong to group I of the faculty. If warranted, the PhD Board, upon request, can admit equally qualified members of the faculty to the PhD Committee. These can be private lecturers, full professors, SNF professors or assistant professors from the faculty without tenure track. At the request of the candidate, the PhD Board may even appoint an expert outside the faculty (of the University of Basel or another University) as a secondary supervisor (see PO §9 para 6).

The PhD Committee supervises and assists with the implementation of the thesis. The objective is to complete a doctorate within a period of four years. The supervisors provide basic support for the doctoral candidate in the elaboration of the thesis. However, primary responsibility lies with the doctoral candidates themselves.

At the beginning of the first year, the doctoral candidate in the DP will be assigned a representative of the participating research area as a primary supervisor by the Programme Supervision Unit. If the Programme Supervision Unit makes a positive evaluation, and to ensure a continuation of the doctorate after the end of the first year, the primary supervisor may be changed based on the thematic focus of the doctoral candidate and a secondary supervisor appointed (see also PO article 9, para. 3).

In addition, the Programme Supervision Unit and the PhD Committee may decide on a third supervisor. These are also members of the PhD Committee (PO §9 para 7). This can be done upon request, if for instance a renowned expert in a foreign country has assisted with the dissertation and is thus able to provide an important signal. The PhD Board can also decide this independently if it sees the need, e.g. to increase the independence of the evaluation.

For emeriti or faculty members appointed elsewhere, the right to supervise a doctorate as a member of the Promotion Committee expires three years after termination of employment at the University of Basel (PO §9 para 9). In justified exceptions on the basis of PO §24 (hardship cases), the PhD Board may deviate from this principle, particularly in the case of completing a dissertation which has already been started.

3.4 The Thesis Contract ("Doktoratsvereinbarung")

A doctoral agreement ("thesis contract") is to be signed between the doctoral candidate and the supervisors within the first semester after admission into the doctoral programme. This must be registered with the Dean's Office via the PhD Board (see PO §7). The doctoral agreement is formally reviewed and approved by the PhD Board.

The doctoral agreement includes a preliminary work and time schedule for the doctorate, any additional requirements, the general conditions and the planned participation in the doctoral studies (number of credit points) as an individual curriculum (see PO §7 para 2). In addition, regular meetings (at least one per year) are recommended to explicitly discuss the progress of the doctorate in compliance with the doctoral agreement. If significant changes occur during the doctorate, the doctoral agreement needs to be updated accordingly. The doctoral agreement must be submitted by the doctoral candidate for registration to the doctoral examination at the Dean's Office.

This process aims to further enhance the quality and increase the reliability of expectations for the doctoral candidates and their supervisors. Doctorates can thus be cancelled at an early stage by the supervisor if necessary. The form for the doctoral agreement can be obtained at the Dean's Office.

4 Structure and curriculum of the structured DP

The following section outlines the sequence of the programme and the curriculum. The curriculum is an important control instrument in the DP.

4.1 Structure

Approved doctoral students begin the programme in October of each year and receive start-up funding in the first year. In the second year, *if the evaluation is successful*, the doctoral candidate may take on a project or an assisting position. This position is guaranteed, so that the number of newly admitted candidates is based on the number of project approvals expected in the following year and assisting positions becoming available. The structure of the DP is divided into two phases:

Phase 1, duration 3 semesters: In this phase, doctoral students complete their training schedule of 30 credit points (CP) and develop a research proposal during the first two semesters (APO III). The research proposal is the basis of their first scientific work and is part of the evaluation.

Phase 2, duration 3-5 semesters: In the second phase, the doctoral candidates concentrate completely on their own research. They are expected to visit research seminars and regularly attend the Economics Lunch. In addition, all doctoral students are required during this phase to present their own research project at the Economics Lunch as well as at least one international scientific conference (peer reviewed).

4.2 Structure of the curriculum

The curriculum is at the core of the methodological training. The doctoral studies include three modules: (I) Advanced Theory and Research Methods, (II) Advanced Field Courses and (III) Research Training. 30 credit points must be acquired in Modules I and II. Module III includes the creation and presentation of a research proposal. No credit points are issued for this purpose. The medium-term curriculum provides information about the specific courses within the individual modules.

1st Semester

The first semester includes compulsory courses from Module I. Furthermore, doctoral students begin working on their research proposals in Module III.

2nd and 3rd Semester

Doctoral candidates acquire the rest of the required minimum 30 CPs in Module II. In particular, there is a distinction between two groups of courses. The allocation is decided by the Programme Supervision Unit.

Group 1: Courses from the programme for beginning doctoral students at the Gerzensee study centre (microeconomics, macroeconomics and econometrics). Each successfully completed course is worth 12 CPs.

Group 2: Courses from the Faculty of Business and Economics in the electronic lecture directory with the corresponding credit points for the DP.

In exceptional cases doctoral candidates can submit a request to the Programme Supervision Unit for recognition of courses at other universities for this module.

In addition, the doctoral candidates complete their research proposal by the end of the 2nd semester and present it at a workshop specifically organised for the DP.

From 4th Semester:

Doctoral students present their scientific papers for at least one (peer reviewed) international conference as well as in the Economics Lunch at the Faculty of Business and Economics. Additional requirements for remaining courses to be completed, presentations at international conferences or visits to summer schools can be determined by the PhD Committee.

5 Content, admission, evaluation and financing

The following section explains the aim and content of the doctorate, followed by information on admissions, evaluation and financing.

5.1 Aim and content of the doctorate

The thesis is a piece of independent research and must demonstrate the doctoral student's ability to undertake independent scientific work. It must meet the scientific requirements of the field of study (PO §13 para 1). Successful completion of the doctorate signals the ability to conceive and carry out independent research. The professional and methodological skills acquired enable the doctoral candidate to carry out sophisticated activities in research. The doctoral candidates are primarily responsible for achieving this goal, although the PhD Committee supports them in this endeavour. The Faculty members contribute to an attractive research environment.

The doctorate is composed of three components: the dissertation, the doctoral studies (curriculum) and the doctoral examination (PO §2 para 1). The doctoral candidates deepen their professional knowledge and methodological skills in their field of research during their training. To ensure a high level of education, the curriculum in the DP in Applied Economics requires the acquisition of at least 30 eligible credit points (CP) within the offered education programme (APO §3 and PO §11 para 3). In addition, the preparation of a research proposal in the first year is mandatory (APO III). The research proposal is the basis of the first scientific work and is part of the evaluation.

5.2 Admission to the doctorate

The rules for admission to the doctoral programme consist of general requirements, which are prescribed by the doctoral regulations of the faculty, and of specific admission conditions, which also apply to the structured DP in Applied Economics.

5.2.1 General conditions for admission

A Master's degree in Business and Economics at the University of Basel with a minimum overall average grade of 5.0 (no rounding up) is a prerequisite for admission to the doctorate. Graduates with an equivalent Master's degree from a University recognised by the University of Basel (PO §4, para 3) may also be admitted. Such degrees include e.g. a Master's degree in pure business administration or pure economics, an interdisciplinary Master's degree which includes an economics component for at least one-third of the credit points or a Master's degree in related areas (e.g. economic mathematics, statistics, econometrics, economic engineering, actuarial sciences). The PhD Board decides whether a full or partial equivalence is granted based on the completed subjects and courses in the track record ("transcript") (PO §4, para 3). If the equivalence is only granted partially, the admission may be rejected, or granted with or without conditions. If the 30 CPs to be achieved within the doctoral studies of the DP close any gaps in economic sciences at the Master's level, an admission without conditions may be granted.

After the provisional admission to the DP in Applied Economics (APO I), the registration for the doctoral studies is processed by the study secretariat ("student services") of the University of Basel. The application deadlines for doctoral studies at the University of Basel are available on the webpage under the "Studies" heading. The university's official application form can also be downloaded from this website.

5.2.2 Additional conditions for the structured DP

The structured doctoral programme in Applied Economics is organised by the Programme Supervision Unit. It works closely with the PhD Board and the faculty's Curriculum Commission, which has the overall supervision of the doctoral studies and consequently the DP. These bodies approve proposals from the Programme Supervision Unit.

Admission to the DP is performed once a year and imposes a higher set of requirements than the admission to the general doctorate. The approval process is relatively complex. All seats available in the programme for each year are advertised internationally in January/February. With their application, applicants need to submit a curriculum vitae, a transcript of their previous studies at Bachelor and Master's level, a copy of their Master's thesis (or, if not available, another scientific paper), a description of their research interests (including primary and secondary requests for association with one of the key priorities of the research) and their results in the Graduate Record Examination (GRE).

The Programme Supervision Unit examines the applications according to the following criteria:

- scientific potential (Master's degree, GRE (quantitative reasoning), Master's thesis)
- prior knowledge (events in the Master's degree, Master's thesis)
- coherence with the priorities of the programme (research interests)
- balance of the programme (gender, internationality, research interests)

Promising candidates are invited to an interview with members of the appropriate field of research and present a piece of work along with their research interests. Based on this interview, the Programme Supervision Unit decides about inclusion in the programme and the assignment of the selected doctoral candidate to the research focal points. Admission is final once the candidates for the doctorate are admitted and enrolled (APO I) at the Faculty of Business and Economics.

5.3 Evaluation

Doctoral candidates will be evaluated after two semesters on the basis of their research proposal and their performance in the doctoral studies. The evaluation includes the presentation of their research proposal at the DP workshop, which takes place at the end of the second semester. The Programme Supervision Unit, based on the established criteria (APO I), decides on the continuation of the doctorate and informs the candidate to that effect by early September at the latest. Unsuccessful candidates are exmatriculated at the end of the first year, at the request of the PhD Board (APO I). In exceptional cases, the PhD Board may recommend graduating outside of the DP. These candidates however cannot expect to receive funding or have access to assistant or project positions.

5.4 Financing of the doctorate

In the first year, admitted doctoral students receive start-up funding (grant) amounting to approximately 25,000 CHF. After a successful evaluation, doctoral students have access to a project-related or assistant position.

In case of employment as assistant with a Master's degree at the Faculty of Business and Economics, the "rules for the scientific staff at the University of Basel" from 22 March 2007 shall apply (see in particular the remarks in §§24-29).

Doctoral students financed by external sources while working on the premises of the Faculty of Business and Economics must also focus on research activities. The requirements for working hours, rights and obligations (e.g. supervisory tasks) are similar to those for assistants.

Doctoral students in the DP in Applied Economics are expected to spend the majority of their time working on their dissertation. Further tasks in the scope of the assistant position (teaching, student support, correction support and supervisory tasks) should not exceed half of the employment time. An assistant position is limited to three years. The assistants are part of the research community of the faculty and are used systematically in appropriate teaching areas.

6 Expectations and promotion

The faculty runs a research seminar where established scientists and researchers from Switzerland and abroad discuss their work. The research seminar must be attended regularly by the doctoral candidates.

Doctoral candidates usually submit a dissertation within four years after admission to the DP. This should be equivalent in scope and quality to at least three scientific papers and meet the publishing standard of international peer-reviewed journals. At the end of four years, financing is no longer ensured. At least one paper must be single-authored by the doctoral candidate.

The doctoral programme is offered entirely in English. An English language dissertation is imperative. All courses are completed with a test. If a test is failed, a repeat test or re-attendance of the course can be arranged. The decision is made by the Programme Supervision Unit in consultation with the lecturer responsible for the appropriate course.

At the beginning of the programme, all doctoral students in the DP are assigned a mentor who assists them during the first year and is available for questions about the DP and scientific development opportunities. The mentors can subsequently be thesis supervisors, but this is not required. Formally, their function is similar to a primary supervisor.

Upon successful completion of the first year, the doctoral candidates choose a primary supervisor from their research focus in consultation with the Programme Supervision Unit and also seek a secondary supervisor. These form the PhD Committee. This is approved by the PhD Board in compliance with the doctoral regulations.

Doctoral candidates are free to choose any research topic as long as they are able to find a suitable supervisor. Doctoral students who, from the second year onwards, are financed through project funding must take on research duties within the framework of this research.

Primary and secondary supervisors are available for the doctoral students at least once a month for consultation. Once a year, a joint conversation takes place to assess the progress of the doctoral candidate. In addition to the supervisors, all professors involved in the programme are available for consultation and, in the case of mutual interest, for joint research.

The doctoral regulations for Business Economics of 16 December 2010 (PO) are applicable for all points not specified, such as assessment and admission to the doctoral exam.

Doctoral students have various mobility options, secured by the possible recognition of credits at other universities or programmes. Furthermore, the training relies partly on inter-university programmes, ensuring the exchange between doctoral students outside of their geographical boundary. Doctoral students must also attend international conferences, which is an important aspect of mobility. Finally, researchers, in particular from North America, are regularly invited to the faculty to interact with the doctoral candidates (for example in the context of the research seminar).

7 Timeline

- Application deadline: End of March
- Application interviews: April/May
- Information on admission to the programme: by the end of May
- Start of the programme: beginning of October
- 1st Semester: compulsory module and research proposal
- 2nd Semester: course programme and research proposal
- DP Workshop: end of 2nd Semester
- Performance assessments by the Programme Supervision Unit: end of August
- Announcement of continuation to the second year: before the start of the autumn semester lectures (beginning of September)
- 3rd Semester: completion of the doctoral studies
- From 4th Semester: research on the dissertation

8 The dissertation

The thesis must be written as a cumulative dissertation. The dissertation should address topics or methods in economics. The dissertation should provide evidence of current and fundamental knowledge and a mastery of scientific working methods. The thesis is a piece of independent research and must demonstrate the doctoral student's ability to undertake independent scientific work. It must meet the scientific requirements of the studied field (PO §13 para 1).

The dissertation must be written in English. The cumulative dissertation consists of individual papers or articles. The papers which are part of the cumulative dissertation do not need to be specially adapted for publication within the dissertation. The scientific papers may include already published work or working papers (see PO §13 para 3).

Parts of the thesis can be joint work with co-authors. Individual contributions are to be clearly identified (PO §13 para 4). This is done through a note detailing which papers were written alone and which were written together with the mentioned authors. The primary supervisor should outline in the assessment, as far as possible, the achievements of the candidate within the joint work (see PO § 13 para 4). At least one paper must be written alone. The evaluation of the thesis will consider individual and joint contributions accordingly (see PO § 13 para 4).

9 Graduation procedure and doctoral examination

After the completion of the thesis and the fulfilment of the requirements of the curriculum, as well as any other requirements, the graduation procedure can be initiated. This is done by written application to the doctoral examination. The application takes place in person in the Dean's Office. The following documents must be submitted (see PO §14 para 2):

- a. Proof of acquisition of credit points from the curriculum (at least 30 CP)
- b. Proof of fulfilment of any obligations in accordance with the doctoral agreement
- c. The dissertation manuscript in triplicate, as well as a summary in thesis format
- d. A signed plagiarism declaration in accordance with PO §14 para 2b
- e. Proof of matriculation during the entire period of the doctorate
- f. Fulfilment of the goals according to the doctoral agreement.

Doctoral candidates must submit the following affidavit: "By signing this document, I confirm that my statement about the tools used in the preparation of my work as well as about the help received is truthful

and complete in every respect. I have read the notice on plagiarism and fraud and am aware of the consequences of such action." (See PO §14 para 2b). This declaration is to be dated and signed.

The expertise of the PhD Committee shall be submitted to the Dean's Office within three months of the request for assessment (see PO §15 para 1). The expertise is used to evaluate the thesis, which is given a mark to one decimal place (PO §18 para 2). If the doctoral examination takes place before the expiry of the submission deadline, the assessments are to be delivered to the Dean's Office no later than 10 days before the doctoral examination. If a member of the PhD Committee assesses the thesis as unsatisfactory, the Doctoral Committee can request another expertise in order to finally decide on the acceptance or rejection of the thesis (see PO §15 para 3). If another expertise is provided, the PhD Board determines the final grade for the dissertation based on all provided expertise (PO § 15 para 4).

The doctorate is completed with a thesis defence at the doctoral examination. If the dissertation is accepted in accordance with PO §15 para 2, the doctoral candidate is accepted for the purposes of the doctoral examination. The doctoral examination is an oral examination and lasts 60 minutes (see PO §17 para 2). The doctoral examination aims to discern the ability of the candidate for oral presentation and discussion of scientific problems (PO §17 para 1) and for answering critical questions about the research results of the dissertation. The examiners include all members of the PhD Committee, as well as the chair of the doctoral examination board.

The chair of the doctoral examination is determined by the PhD Board among the members of faculty Group I. They may not be a member of the PhD Committee (PO §17 para 4). Other members of the faculty from Group I are entitled to participate in the doctoral examination and ask questions (PO §17, para 5; see also PO §16 par. 5). After the examination when the candidate is no longer present, the grade (to one decimal place) for the doctoral examination is jointly determined by the members of the PhD Committee, as well as by the chair of the doctoral examination board (PO §17, para 6). The doctoral examination is considered passed when at least grade 4 is achieved. If a doctoral examination is evaluated as insufficient, it can be repeated once and at the earliest after half a year (see PO §17 para 7).

Doctoral distinctions are calculated immediately after the doctorate examination by the chair of the doctoral examination board and announced to the candidate (PO §18 para 4). This is calculated as follows (PO §18 para 1): first, the final grade of the doctorate is calculated, rounded up to the nearest tenth as the sum of the grade for the dissertation (with double weighting) plus the grade for the doctoral examination (with single weighting). The distinctions for the doctorate are then determined using the following key (PO §18 para 5):

- summa cum laude (5.75 to 6.0)
- insigni cum laude (5.25 to 5.74)
- magna cum laude (4.75 to 5.24)
- cum laude (4.25 to 5.24)
- rite (4.0 to 4.24).

If the candidate is successful, the preliminary graduation and pledge ceremony take place immediately after the doctoral examination (PO §19 para 1). The graduation will only become valid with the handing over of the doctorate certificate in English language (see PO §19 para 3). This is issued together with the Diploma Supplement and the detailed transcript (that includes all courses and attainments of the doctorate) at the faculty's next graduation ceremony (PO §22 para 2). From this date onwards, the holder of a doctorate is entitled to the academic title "Dr. rer. pol." or "Ph.D." (PO §22 para 3).

10 Requirements for the publication of the dissertation

According to the formal requirements published by the PhD Board, the thesis is to be printed and bound. Four deposit copies should be printed. The deposit copies must be submitted to the Dean's Office within one year after the doctoral exam (see PO §21).

The deposit copies carry an authorised title page and a note that the Chair of the PhD Board has approved the publication in its present form.

Before printing, the final version of the dissertation is to be submitted to the Dean's Office to check the formal requirements. If print runs have been agreed upon during the doctoral examination, the consent for publication must be obtained from the primary supervisor. No content changes are allowed after granting permission to print. Any formal corrections are to be notified to the Dean's Office.

The following forms of publication are possible:

- I. *Publication as a monograph in bookstores or the University Library of the University of Basel*
If the dissertation is to appear in bookstores, the candidate must submit a signed joint publication contract with the publisher to the Dean's Office and identify the publication with a special notice as a copy of a dissertation authorised by the Faculty of Business and Economics of the University of Basel.
- II. *Publication as a printed brochure at the University Library of the University of Basel*
the provisions of the University Library of the University of Basel for printing must be observed. For cumulative dissertations, bibliographic evidence of individual articles is to be presented.
- III. *Publication on the Internet*
this is only possible as a complement to I or II. The deposit copies for the University Library of the University of Basel are always to be printed as described above. The relevant provisions of the University Library must be observed. The candidate transfers the rights necessary for the publication to the University Library of the University of Basel for free.

Doctoral candidates can apply for grants at foundations and funds (e.g. Max Geldner Stiftung and others) to cover printing costs. For requests for additional resources managed by the deanery, already allocated printing grants shall be declared.

Upon receipt of the deposit copies at the Dean's Office, the doctorate certificate mentioned under section 9 can be issued.

On behalf of the Faculty of Business and Economics:

Prof. Dr. Rolf Weder
Dean of Studies and Chairman of the Examination Board

12 June 2014

[Translated version of the officially published version in German language, 6.2.2015.]

Attachments

A1. Confirmation of the primary supervisor¹

Registration, confirmation of the primary supervisor

to be completed by the future doctoral student

First name / Last name _____ Date of birth: _____

University degree / date: _____

University / area of expertise: _____

Distinction: _____

Application for recognition of the examination or a related programme for graduates of other universities.

After consultation with the primary supervisor, the doctoral student commits to specifying a secondary supervisor within 12 months of the start of the doctorate to the deanery, which forwards the request to the PhD Board.

Place / date: _____ Doctoral Candidate: _____

to be completed by the Programme Supervision Unit

I request that the above person should be admitted to the doctorate and commit to supervise him/her. No later than 12 months from the start of the doctorate, the secondary supervisor is to be submitted to the Dean's Office.

Place / date _____ Primary supervisor: Prof. Dr. _____

_____ Signature: _____

Admission by the PhD Board of the Faculty of Business and Economics

ADMISSION

to be completed by the Chairperson of the PhD Board

The candidate fulfils the conditions for admission without conditions (= Master's degree in business and economics) with a minimum overall average grade of 5.0 (not rounded up).

or

The candidate has an equivalent Master's degree with a minimum overall average grade of 5.0, has at least 24 CP in the fields of business and economics (including econometrics) at Master's level and thus fulfils the conditions for admission without conditions

or

The candidate does not meet the conditions for admission without conditions and must provide in addition, at the beginning of the doctorate, the courses listed here in the amount of _____ credit points:

Place / date _____ Signature, Chair
of the PhD Board: _____

¹ If the primary supervisor is not a member of Group I at the Faculty of Business and Economics, the secondary supervisor should be part of Group I and be immediately determined at the start of the doctorate (PO §9 para 6).

A2. Schema cover and back of the title page

Title page

<p>Title</p> <p>Dissertation</p> <p>for obtaining the title of Ph.D. in Economics</p> <p>at the</p> <p>Faculty of Business and Economics of the University of Basel</p> <p>submitted by</p> <p>(Author)</p> <p>(Name of the Printer or Publisher)</p> <p>(Place and year of printing)</p>

Back of the title page

<p>Approved by the Faculty of Business and Economics of the University of Basel, at the request of (name and title of the primary supervisor and secondary supervisor)</p>	
<p>Basel, on *</p>	<p>Chair of the PhD Board</p> <p>Prof. Dr. *.....</p>
<p><small>* Please insert the day of the doctoral examination and the name of the Chair of the PhD Board, during whose years in office the doctoral examination was filed.</small></p>	