

Annex to Article 1, Section 3 of the doctoral regulations at the Faculty of Business and Economics at the University of Basel, dated December 16, 2010

## **Additional rules for the structured doctoral program in Applied Economics**

adopted by the Faculty of Business and Economics at the faculty meeting held on March 18, 2014.

Article 1, Section 3 of the doctoral regulations of the Faculty of Business and Economics from 16 December 2010 (later referred to as regulations) stipulates that additional rules can be set for structured doctoral programs.

All the provisions of the regulations apply to the doctoral students in the structured doctoral program in Applied Economics. These represent the minimum requirements for a doctorate or Ph.D. in the Faculty of Business and Economics at the University of Basel.

The following additional provisions mentioned in this document also apply to doctoral students in the structured doctoral program in Applied Economics.

### **I. General provisions**

#### *Admission to the doctoral program in Applied Economics*

Admission to the doctoral program in Applied Economics requires admission to a doctorate in economics in accordance with the provisions of the regulations. Admission to the doctoral program in Applied Economics is done in two steps.

1) In the *first* step, the following application documents are to be sent to the Program Supervision Unit of the doctoral program in Applied Economics:

- Curriculum Vitae
- Letter of motivation including research interests and first research idea
- Master's degree including detailed track record and grade point average
- GRE-test result
- Master's thesis or comparable written scientific work (e.g. seminar paper)

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The Program Supervision Unit evaluates the applicants according to the following criteria:

- Scientific potential
- Methodological and specialized knowledge
- Focus on the priorities of the structured doctoral program
- Balance in the composition of candidates (male/female)
- Interview with the candidates (optional)

Based on the evaluation results, the Program Supervision Unit informs the candidates on their provisional admission or non-admission. In case of a provisional admission, the Program Supervision Unit informs the candidates on the next steps required for definitive admission.

2) In the *second* step, the selected candidates register at the Student Services of the University of Basel in accordance with Article 4, Section 6 of the regulations.

The Student Services check the formal requirements and forward the application to the Doctoral Committee of the Faculty of Business and Economics for comment. The Doctoral Committee checks the admission application, and the Chairperson of the Committee then recommends to the Rectorate the admission or non-admission to the respective doctoral program. This is then decided by the Rectorate based on all relevant documentation.

*Admission to the doctoral program in Applied Economics becomes definitive with the admission and registration in the doctorate program in Economics.*

## *Evaluation after one year*

The Program Supervision Unit evaluates the performance of the doctoral candidate in the structured doctoral program in Applied Economics after one year on the basis of the following criteria:

- achievements in course work (e.g. grades),
- the quality of the research proposal (see Section III).

The Program Supervision Unit then decides on the continuation of the doctoral candidate in the structured doctoral program in the Applied Economics doctorate.

If the performance is deemed insufficient, the Program Supervision Unit may make a recommendation to the Doctoral Committee regarding the termination of the doctorate in the structured doctoral program in Applied Economics for the student in question. The termination shall then be decided by the Doctoral Committee.

In exceptional cases, the Doctoral Committee may recommend that doctoral candidates be allowed to graduate outside of the doctoral program in the Faculty of Business and Economics.

## II. Responsibilities

### *Program supervision*

The structured doctoral program in Applied Economics is organized by a Program Supervision Unit. It works closely with the Doctoral Committee, as well as with the Curriculum Commission of the Faculty of Business and Economics. It represents the doctoral program in Applied Economics outside the university and ensures its visibility on an international level.

The Program Supervision Unit is specifically in charge of the following tasks:

- it oversees the call for proposals, carries out the application process and selects suitable candidates.
- after one year, it decides on the continuation or termination of the doctorate in the structured doctoral program in Applied Economics. In the event of a termination, it makes a recommendation to this effect to the Doctoral Committee.

The Program Supervision Unit is composed of representatives of the participating research areas as well as a representative of the coordinating body (PostDoc). It chooses a chairperson among the members of the Program Supervision Unit.

The Program Supervision Unit may delegate individual duties to the chairperson or to a group of members.

## III. Doctorate

### *Structure of the doctorate*

By way of derogation to Article 11, Section 1 as well as Article 12, Section 2 of the regulations, 30 credit points instead of 12 have to be acquired in the education program in the structured doctoral program in Applied Economics.

### *Performance review and acquisition of credits in the education program*

The training available in the structured doctoral program in Applied Economics is divided into the following modules:

- I) Advanced Theories and Research Methods
- II) Advanced Field Courses
- III) Research Training

Doctoral students in the structured doctoral program in Applied Economics have to acquire at least 30 credit points in modules I and II. In modules I and II, the Program Supervision Unit may declare certain events compulsory for individuals or for all doctoral students in the doctoral program in Applied Economics. Module III includes the creation and presentation of Research Proposals.

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Details for the three modules are laid down in the study guide to the structured doctoral program in Applied Economics. The medium-term scheme contains the courses for the individual modules.

## *Dissertation*

By way of derogation to Article 13, Section 2 of the regulations, the dissertation is to be written in English.

By way of derogation to Article 13, Section 3 of the regulations, it is mandatory for the dissertation to be a collection of already published papers or working papers suitable for publication.

On behalf of the Faculty of Business and Economics.

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[Translated version of the officially published version in German language.]